UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------|---------------------|----------------------|---------------------|------------------|
| 10/690,258 | 10/21/2003 | Oscar A. Chappel | 92717-344USP1 | 3419 |
| 61060 WINSTEAD PO | 7590 02/19/200 C | 9 | EXAM | IINER |
| P.O. BOX 5078 | | LE, LINH GIANG | | |
| DALLAS, TX 75201 | | | ART UNIT | PAPER NUMBER |
| | | | 3686 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 02/19/2009 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | Application No. | Applicant(s) | | | | |
|---|--|---|--|--|--|--|--|
| Office Action Summary | | 10/690,258 | CHAPPEL, OSCAR A. | | | | |
| | | Examiner | Art Unit | | | | |
| | | MICHELLE LE | 3686 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | | |
| Wh - E a - If - F | SHORTENED STATUTORY PERIOD FOR IICHEVER IS LONGER, FROM THE MAI ATTENT AND A SHORT AND A S | LING DATE OF THIS COMMUN 37 CFR 1.136(a). In no event, however, may cation. ory period will apply and will expire SIX (6) M , by statute, cause the application to become | NICATION. a reply be timely filed ONTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133). | | | | |
| Status | | | | | | | |
| 1)[| Responsive to communication(s) filed | on 21 October 2003 | | | | | |
| 2a)[| This action is FINAL . 2b)⊠ This action is non-final. | | | | | | |
| 3)[| _ | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| - /- | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Dispos | sition of Claims | | | | | | |
| 4)[| ☑ Claim(s) <u>1-22</u> is/are pending in the app | olication. | | | | | |
| ,_ | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5)[| 5) Claim(s) is/are allowed. | | | | | | |
| 6)[| 6)⊠ Claim(s) <u>1-22</u> is/are rejected. | | | | | | |
| 7)[| | | | | | | |
| 8)[| 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | |
| Applic | ation Papers | | | | | | |
| 9)☐ The specification is objected to by the Examiner. | | | | | | | |
| 10)⊠ The drawing(s) filed on <u>21 October 2003</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner. | | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | | |
| Priorit | y under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: | | | | | | | |
| | 1. Certified copies of the priority documents have been received. | | | | | | |
| | 2. Certified copies of the priority documents have been received in Application No | | | | | | |
| | 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | |
| | application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
| | | | | | | | |
| Attachm | ` ' | | | | | | |
| 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date | | | | | | | |
| | 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date B) ☐ Notice of Informal Patent Application | | | | | | |
| Paper No(s)/Mail Date <u>see continuation sheet</u> . 6) Other: | | | | | | | |

Continuation Sheet (PTOL-326)

Application No.

Attachments -- continuation of 3

Art Unit: 3686

DETAILED ACTION

Notice to Applicant

This communication is in response to application filed 21 October 2003.
 Claims 1-22 remain pending.

Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 1-8 are rejected under 35 USC 101 as these claims are directed to non-statutory subject matter. A claimed process is patent eligible under 101 if: (1) it is tied to a particular machine or apparatus or (2) it transforms a particular article into a different state or thing. Independent claim 1 is directed towards a method of populating a knowledge base. There is no tie to a machine or apparatus in the body of the claim nor is there a transformation of a particular article towards a different state or thing. Thus the claims are directed towards a patent-ineligible process under 35 USC 101. Furthermore, a nominal recitation in the preamble of structure in an otherwise ineligible method fails to make the process statutory.

Art Unit: 3686

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35
U.S.C. 102 that form the basis for the rejections under this section made in this
Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 5. Claims 1-22 are rejected under 35 U.S.C. 102(e) as being anticipated by McIntosh (2002/0128874).
- 6. As per claim 1, McIntosh teaches a method of populating a knowledge base, the method comprising: creating at least one claim element based on information related to at least one of a claim form and an updated specification (McIntosh; paras. 18, 31); creating at least one rule from said information related to at least one of a claim form and an updated specification (McIntosh; paras. 31-32); applying said at least one rule to said at least one claim element to form knowledge (McIntosh; paras. 18-20); and populating the knowledge base with said knowledge acquired from said applying step (McIntosh; paras. 18-20).

Art Unit: 3686

- 7. As per claim 2, McIntosh teaches further comprising translating an edit to yield a translated edit, the translated edit being utilized in the step of creating the at least one claim element (McIntosh; para 33).
- 8. As per claim 3, McIntosh teaches further comprising binding at least one conclusion variable responsive to the applying step (McIntosh; para. 26). Examiner submits that the phrase "reject the claim" reads upon a "conclusion variable."
- 9. As per claim 4, McIntosh teaches wherein the step of creating the at least one claim element comprises: creating at least one attribute (McIntosh; paras. 31 and 32); and assigning at least one value to said attribute (McIntosh; paras. 31 and 32).
- 10. As per claim 5, McIntosh teaches further comprising validating a claim using appropriate claim-element knowledge in the populated knowledge base (McIntosh; para. 24).
- 11. As per claim 6, McIntosh teaches wherein the step of creating at least one claim element comprises the steps of: creating at least one reference claim element (McIntosh; paras. 20-24); and creating at least one reported claim element (McIntosh; paras. 20-24).

Page 5

Art Unit: 3686

12. As per claim 7, McIntosh teaches further comprising the step of validating a claim by comparing said at least one reported claim element to said at least one reference claim element (McIntosh; para. 24).

- 13. As per claim 8, McIntosh teaches further comprising the step of verifying that the translated edit has been correctly translated (McIntosh; paras. 26-29).
- 14. As per claim 9 McIntosh teaches article of manufacture for populating a knowledge base used in validating

medical claims, the article of manufacture comprising:

at least one computer readable medium;

processor instructions included on the at least one computer readable medium, the processor instructions configured to be readable from the at least one computer readable medium by at least one processor and thereby cause the at

least one processor to operate as to (McIntosh; para. 17):

create at least one claim element based on information related to at least one of

a claim form and an updated specification(McIntosh; paras. 18, 31);

create at least one rule from said information related to at least one of a claim

form and an updated specification (McIntosh; paras. 31-32);

apply said at least one rule to said at least one claim element to form knowledge

(McIntosh; paras. 18-20);

and populate the knowledge base with said knowledge acquired from said applying step (McIntosh; paras. 18-20).

Art Unit: 3686

15. Claims 10-14 repeat substantially similar limitations of claims 2-8 and thus the reasons for rejections are incorporated herein.

16. Claims 15-22 repeat substantially similar limitations of claims 9-14 and thus the reasons for rejection are incorporated herein.

Conclusion

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHELLE LE whose telephone number is (571)272-8207. The examiner can normally be reached on 8 AM - 5PM, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gerald O'Connor can be reached on 571-272-3600. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3686

18. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. L./ Examiner, Art Unit 3686 2/15/09

/C. LUKE GILLIGAN/ Supervisory Patent Examiner, Art Unit